

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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HARRINGTON GLOBAL OPPORTUNITY  
FUND, LIMITED,

Plaintiff,

- against -

CIBC WORLD MARKETS CORP., CIBC WORLD  
MARKETS INC, BANK OF AMERICA SECURITIES,  
INC., MERRILL LYNCH CANADA INC., MERRILL  
LYNCH PROFESSIONAL CLEARING CORP., TD  
SECURITIES, INC., TD SECURITIES(USA) LLC,  
CORMARK SECURITIES, INC., UBS FINANCIAL  
SERVICES, INC., UBS SECURITIES CANADA, INC.,  
SOCIETE GENERALE CAPITALE CANADA, INC., SG  
AMERICAS SECURITIES, LLC, AND JOHN DOE'S 1  
THROUGH 10,

Defendants.  
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**Civil Action**

**NOTICE OF  
APPEARANCE**

**PLEASE TAKE NOTICE** that the undersigned hereby appears as an attorney on behalf  
of Plaintiff Harrington Global Opportunity Fund, Limited, and demands that copies of all  
pleadings, papers, notices and other communications relating to this action be served upon the  
undersigned.

Dated: New York, New York  
January 27, 2021

**WARSHAW BURSTEIN, LLP**

By: /s/ Felicia S. Ennis

Felicia S. Ennis

*Attorneys for Plaintiff*

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